

Settlement Fee

HKD 90 per Lot

**Basic Transaction Cost Futures Transaction Cost (Negotiable Commission)** Mini Hang Seng Index Futures **Hong Kong Shares** 0.08% of Transaction Amount Brokerage Exchange Traded Commission Day Trade: HKD 30 per Lot per Side; Over Night: HKD 50 per Lot per Side Funds (ETF)5,14 (No Minimum Charge) Night Session Promotion<sup>5</sup>: HKD5 per Lot per Side (Online Trading) Exchange Fee & Levv Day Trade | 0.05% of Transaction HKD 3.6 per Lot per Side HKD 50 per Lot Amount (No Minimum Charge) Settlement Fee General transaction 0.25% of Transaction Amount ekly Options Hang Seng Ind (Min HKD 100) Day trade & Night Session: Premium x 1% Stamp Duty 0.1% of the transaction amount Min: HKD 10; Max: HKD 20 (Online) Offline: Premium x 2% (Min HKD 40.5, Max HKD 90.5) (round up to the nearest dollar) 0.00285% of Transaction Amount (Include 0.0027% SFC Transaction Levy Exchange Fee & Levy HKD 10.54 per Lot In the Money: HKD 10 per Lot Out of Money: Free Transaction levy and 0.00015% FRC Transaction levy; Settlement Fee those Levy fees rounded to the nearest cent and Mini Hang Sen ex Options calculated separately; Effective on 1st January 2022) Online Buy: HKD 5 per Lot per Side; Commission Trading Fee Online Sell: HKD 8 per Lot per Side 0.00565% of Transaction Amount 0.01% of Transaction Amount, 0.002% is collected Offline: Premium x 2% (Min.: HKD 30; Max.: HKD 60) CCASS Fee HKD 2.1 per Lot by HK Clearing (MinHKD 3, MaxHKD 300) Exchange Fee & Levy Waived (All clients with Hong Kong stocks holding less than 5,000 Settlement Fee In the Money: HKD 2 per Lot Out of Money: Free Stock Custody Fee board lots are eligible to this waiver. Ineligible clients: HKD0.012 (Effective on OCT 2018) H - Share Index per board lot per month. Fee collected on behalf of CCASS) Day trade Online: HKD 5 per lot per side; Offline: HKD 48 per lot per side Commission Over Night Online: HKD 10 per lot per side; Offline: HKD 88 per lot per side Shanghai-Hong Kong Stock Connect/ Shenzhen-Hong Kong Connect 0.08% of Transaction Amount (Min CNY 60) Night Session Promotion<sup>5</sup>: Free (Online) Brokerage (Online Trading) Brokerage (Offline Trading) 0.25% of Transaction Amount (Min CNY 100) xchange Fee & Levy HKD 4.04 per Lot per Side HKD 18 per Lot Handling Fee Stock: 0.00341% of Transaction Amount Settlement Fee TF: 0.004% of Transaction Amount Mini H - Share Inde k Futures Management Fee Stock: 0.002% of Transaction Amount Day trade Online: HKD 4 per lot per side; Offline: HKD 12 per lot per side Over Night Online: HKD 5 per lot per side; Offline: HKD 20 per lot per side ETF: Waived Transfer Fee (ChinaClear) Stock: 0.001% of Transaction Amount Night Session Promotion<sup>5</sup>: Free (Online) HKD 2.1 per Lot per Side Exchange Fee & Levv (Effective on 29th April 2022); ETF: Waived Transfer Fee (CCASS) 0.002% of Transaction Amount Settlement Fee HKD 5 per Lot Stock: 0.05% of Transaction Amount on the seller; ETF: Waived Stamp Duty Portfolio Fee Daily Stock Portfolio Value x 0.008% / 365 Online Buy: HKD 5 per Lot per Side; OnlineSell HKD 8 per Lot per Side Commission (Accrued daily and collected on a monthly basis) Offline: Premium x 2% (Min: HKD 47, Max: HKD 97) HKD 4.04 per Lot Interest for Late Payment Cash Account: HKD Prime Rate<sup>2</sup> + 5%; Margin Account: 4.88% p. Exchange Fee & Levy Stock Options Transaction Cost Settlement Fee In the Money: HKD 3.5 per Lot Out of Money: Free Online: HKD 5 per lot per side Brokerage Waived<sup>5,6</sup> Commission Covered Call Open Offline: Premium x 2% (Min.: HKD 20; Max.: HKD 40) (Commission rebate will Vaived (with the corresponding stock in Exchange Fee & Levy HKD 1.54 per Lot process on next month stock option account for covered) within 5 working days) Covered Put Open Waived (Should deposit 100% proceed Settlement Fee In the Money: HKD 1 per Lot Out of Money: Free for stock assignment in Stock Option (Effective on 11 Mar 2019) Day trade Online: HKD 6 (Tier 1), HKD 3 (Tier 2), HKD 2 (Tier 3) Account for cover put) Commission Long Open Contracts (10 lots or below)<sup>5,6</sup> Over Night Online: HKD 12 (Tier 1), HKD 8 (Tier 2), HKD 5 (Tier Day trade Offline: HKD 30 per lot per side HKD 5 per Lot (For each trade less than Long Call/Put Open or Over Night Offline: HKD 50 per lot per side Short Call/Put Close 10 Lots) Option's Asset Under Management (AUM)<sup>5,6,7,8</sup> Tier 1: HKD 3.1 per lot per side; Tier 2: HKD 1.1 per lot Exchange Fee & Levy 1 Million HKD or above Premium x share qty. x 0.18% (Min. HKD 5 Tier 3: HKD 0.6 per lot per side Day Trade<sup>5,6,1</sup> Settlement Fee HKD 20 per Lot Premium x share quantity x 0.18% (Min. HKD 5) Day trade Online: HKD 4 per lot per side; Offline: HKD 48 per lot Commission Other Trades Over Night Online: HKD 6 per lot per side; Offline: HKD 88 per Premium x share qty. x 0.18% (Min. HKD 5) Online trade Night Session Promotion5: Free (Online) Non-online trade Premium x share qty. x 0.25% ( HKD 5 per lot per side Transaction Levy HKD 3 per Lot or HKD1 or HKD 0.5 per Lot Exchange Fee & Levy (Determined and collected by Options Clearing House) Settlement Fee HKD 8 per Lot **SBL Transaction Cost** Online: HKD 8 per lot per side Commission Offline: Premium x 2% (Min HKD 48, Max HKD 88) IRO Registration Fee HKD 270 (Charge once only) Loan Fee Depend on borrowing value and annual interest rate agreed. Exchange Fee & Levy HKD 3.04 per lot per side Minimum Ioan fee (Hong Kong Shares: HKD200, In the money: HKD 8 per lot; Out of the money: Free Settlement Fee Shanghai-Hong Kong Stock Connect: CNY200) RMB Currency **Borrowing Value** No minimum requirement (at least one lot) Day Trade: RMB 40 per Lot per Side Commission **Investment Funds Transaction Cost** Over Night: RMB 70 per Lot per Side **Investment Funds** Exchange Fee & Levy RMB 8 per Lot Transaction Cost Please refer to respective prospectus of the fund Subscription/ Redemption/ Physical Delivery Fee RMB 500 per Lot Switching/ Management/ (Some Fund House requires Min. Subscription/Redemption/Switch Amount) Settlement Fee RMB 70 per Lot Other fees CES China 120 Ind x Future (CHH) Transfer Fee From other company to Phillip - Waive Day Trade: HKD12 per Lot per Side Commission From Phillip to other company -Over Night: HKD20 per Lot per Side HKD200 + Market value of the fund 0.1% HKD10.54 per Lot per Side Exchange Fee & Levy **Bonds Transaction Cost** Settlement Fee HKD20 per Lot Bonds<sup>1</sup> Sector Index Future Minimum HKD25 per month, equivalent to 0.05% of Day Trade: HKD20 per Lot per Side Custody fee Commission nominal value / annum Over Night: HKD40 per Lot per Side Handling fee 0.15% of Consideration (Except 0.10% of Consideration, Exchange Fee & Levy HKD2.54 per Lot per Side HKD40 per Lot Settlement Fee for Investment Grade EUR/CNH Futures PY/CNH Futures, AUD/CNH Futures Handling of Delivery HKD 400 Day Trade: RMB30 per Lot per Side Interest Collection & Waived Commission Maturity Redemption Fee Over Night: RMB50 per Lot per Side Futures Transaction Cost (Negotiable Commission) RMB5 per Lot per Side Exchange Fee & Levy Settlement Fee RMB50 per Lot Hang Seng Index Futures CNH/USD Futures Day Trade: HKD 50.5 per Lot per Side Commission Day Trade: USD3 per Lot per Side Over Night: HKD 90.5 per Lot per Side Commission Night Session Promotion<sup>5</sup>: HKD18 per Lot per Side (Online Trading) Over Night: USD5 per Lot per Side HKD 10.54 per Lot per Side USD0.6 per Lot per Side Exchange Fee & Levy xchange Fee & Levy

Settlement Fee

USD5 per Lot



**Futures Transaction Cost (Negotiable Commission)** 

Other Fees & Charges

| Futures  | Transaction Cost (Negotiable Commission)  |   | Other Fees & Charges   |  |
|--|---|---|--|--|
| CNH/USD Option   |   | <b>US Person - FATCA</b>  | Administration Fee (On account basis)  |  |
| Commission   | Day Trade: RMB70 per Lot per Side   |   | HKD 5500 (per calendar year)   |  |
|  | Over Night: RMB70 per Lot per Side  | Stock Withdrawal -  | Physical   |  |
| Exchange Fee & Levy  | RMB8 per Lot per Side   | Handling Charges  | HKD 100  |  |
| Physical Delivery Fee  | RMB500 per Lot  | Action Charges  | HKD5/ Lot (HKD 3.5 is collected by HK Clearing)  |  |
| Gold Futures (CNH  |   | Penalty Compulsor   |  |  |
| Commission   | Day Trade: RMB 38/ USD 6 per Lot per Side   | Handling Charges  | 0.5% of Transaction Amount and other fees (Collected by HK Clearing)   |  |
|  | Over Night: RMB 50/ USD 8 per Lot per Side  | Dividend Claim  | or in the second of the second |  |
| Exchange Fee & Levy  | RMB 18.5/ USD 3.07 per Lot per Side   | Handling Charges  | 1) Mini HKD 400/ Case + 2) 0.5% of Total Div.  |  |
| Physical Delivery Fee  | We do not support physical delivery at the moment   | rianuling Charges   | Amount (Min. HKD 30, Max. HKD 2,500)   |  |
| r nysical Delivery Lee   | Other Fees & Charges  | 4   | (HKD 200 is collected by HK Clearing; Additional   |  |
| D: : 1   |   | 1   |  |  |
| Collection Fee   | / Scrip Dividend Collection (Local Stock) <sup>1</sup>  | Datamar I Okasus  | fees may be applied in special cases)  |  |
| Collection Fee   | 0.5% of Total Div. Amount (0.12% collected by HK Clearing)  | Returned Cheque   | LUCD 400 and related hands interest  |  |
| Ourin Fair   | (Min. HKD 30, Max. HKD 2,500)   | Handling Charges  | HKD 100 and related bank interest  |  |
| Scrip Fee  | HKD 2.5/ Lot (HKD 1.5 is collected by HK Clearing)  | IPO Application/ Stop Cheque  |  |  |
|  | / Scrip Dividend Collection ( Local Stock Option)   | Handling Charges HKD 100  |  |  |
| Collection Fee   | 0.5% of Total Div. Amount (Min. HKD 30, Max.HKD 2,500)  | Renounce Shares   | I and the second |  |
|  | Bonus Warrant Collection  | Handling Charges  | HKD 100 per stock  |  |
| Handling Charges   | HKD 30  | Duplicate Copy of Statement   |  |  |
| Scrip Fee  | HKD 2.5/ Lot (HKD 1.5 is collected by HK Clearing)  | Within 3 months   | Free   |  |
| Interest Collection  |   | Beyond 3 months   | HKD 30/ Set  |  |
| Collection Fee   | 0.5% of Total Interest Amount (Min. HKD 30, Max.HKD 2,500)  | Interest Rate on Cre  |  |  |
| Rights Collection  |   | Credit Balance  | 0%   |  |
| Scrip Fee  | HKD 2.5/ Lot (HKD 1.5 is collected by HK Clearing)  | Interest for Late Pa  | yment  |  |
| Rights Subscription  | n   | Cash Account  | HKD Prime Rate <sup>2</sup> + 5%   |  |
| Handling Charges   | HKD 30  | Margin Account  | HKD Prime Rate <sup>2</sup> + 3%   |  |
|  | HKD 1.2/ Lot (HKD 0.8 is collected by HK Clearing)  | Custodian Account   | HKD Prime Rate <sup>2</sup> + 10%  |  |
|  | Warrant Conversion  | Grade Based Margin  |  |  |
| Handling Charges   | HKD 30  | Grade 1 Stock   | Low as 5.5%  |  |
| Corporation Action Fee   | HKD 1.2/ Lot (HKD 0.8 is collected by HK Clearing)  | Margin Value  | (HKD Prime Rate <sup>2</sup> , for Non-Professional Investor)  |  |
| Accept Cash Offer  | 3,  | Other Stock Margin Value  |  |  |
| Handling Charges   | HKD 30  | Ĭ   | (HKD Prime Rate <sup>2</sup> + 1.5%, for Non-Professional Investor)  |  |
| Corporation Action Fee   | HKD 1.2/ Lot (HKD 0.8 is collected by HK Clearing)  | Call For Deposit  | 14% (HKD Prime Rate <sup>2</sup> + 8.5%)   |  |
| Stamp Duty   | 0.1% of the transaction amount (round up to the nearest dollar)   |   | ssional Investor Grade-Based Margin : Click here   |  |
| SI Fee – via CCASS   |   | Reference Letter/ A   |  |  |
| Stock Deposit  | Free  |   | HKD 100  |  |
| Stock Withdrawal   | 1) Stock Value 0.01% or Min. HKD 3 (0.002%  |   | known deposit / Confirmation of depositor <sup>16</sup>  |  |
| Clock Williamawai  | collected by HK Clearing)   |   | HKD 100  |  |
|  | 2) Handling Charges HKD 400 per stock (Effective on 1st August 2022)  | CCASS Stock Segre   |  |  |
| ISI Fee – via Invest   |   |   | egation Account  |  |
| 151 Fee - via ilivest  |   | Handling Charges  | HKD 120 (Appual)   |  |
|  |   |   | HKD 120 (Annual)   |  |
| Stock Deposit  | Free  | Multi-counter Trans   | fer Instruction  |  |
| Stock Deposit<br>Withdrawal  | Free<br>HKD 20/ Stock   | Multi-counter Trans   | fer Instruction HKD 10 per each effected (HKD 5 is collected by  |  |
| Stock Deposit<br>Withdrawal<br>Italian Financial Tra   | Free HKD 20/ Stock ansaction Tax (Collected for Italian Government)   | Multi-counter Trans<br>Handling Charges   | fer Instruction  |  |
| Stock Deposit Withdrawal Italian Financial Tra Government Tax  | Free HKD 20/ Stock ansaction Tax (Collected for Italian Government) 0.10%   | Multi-counter Trans Handling Charges Remittance   | fer Instruction  HKD 10 per each effected (HKD 5 is collected by  HK Clearing)   |  |
| Stock Deposit Withdrawal Italian Financial Tra Government Tax (Italian companies with  | Free HKD 20/ Stock  ansaction Tax (Collected for Italian Government)  0.10% On the net purchase amount (Tax deduction from the intraday   | Multi-counter Trans Handling Charges  Remittance CHATS  | fer Instruction  HKD 10 per each effected (HKD 5 is collected by HK Clearing)  HKD 150   |  |
| Stock Deposit Withdrawal Italian Financial Tra Government Tax (Italian companies with market capitalization  | Free HKD 20/ Stock  Insaction Tax (Collected for Italian Government)  0.10% On the net purchase amount (Tax deduction from the intraday netting permitted based on the weighted average purchase  | Multi-counter Trans Handling Charges  Remittance CHATS Telegraphic Transfer <sup>11</sup>   | HKD 150 HKD 400  |  |
| Stock Deposit Withdrawal Italian Financial Tra Government Tax (Italian companies with market capitalization of more than Euro 500m   | Free HKD 20/ Stock  ansaction Tax (Collected for Italian Government)  0.10% On the net purchase amount (Tax deduction from the intraday netting permitted based on the weighted average purchase price and the net purchase share quantity executed on the  | Multi-counter Trans Handling Charges  Remittance CHATS Telegraphic Transfer <sup>1</sup> Hong Kong Shares   | HKD 150 HKD 400 HKD 400 HKD 400 HKD 400 HKD 400 HKD 400  |  |
| Stock Deposit Withdrawal Italian Financial Tra Government Tax (Italian companies with market capitalization of more than Euro 500m and listed in Hong Kong)  | Free HKD 20/ Stock  ansaction Tax (Collected for Italian Government)  0.10% On the net purchase amount (Tax deduction from the intraday netting permitted based on the weighted average purchase price and the net purchase share quantity executed on the same day)  | Multi-counter Trans Handling Charges  Remittance CHATS Telegraphic Transfer <sup>1</sup> Hong Kong Shares Handling Charges  | HKD 10 per each effected (HKD 5 is collected by HK Clearing)  HKD 150  HKD 400  Proxy Voting <sup>3</sup> HKD 100 per stock  |  |
| Stock Deposit Withdrawal Italian Financial Tra Government Tax (Italian companies with market capitalization of more than Euro 500m and listed in Hong Kong) Stock Deposit – Ph   | Free HKD 20/ Stock  Ansaction Tax (Collected for Italian Government)  0.10% On the net purchase amount (Tax deduction from the intraday netting permitted based on the weighted average purchase price and the net purchase share quantity executed on the same day)  ysical  | Multi-counter Trans Handling Charges  Remittance CHATS Telegraphic Transfer <sup>11</sup> Hong Kong Shares Handling Charges Statement Administ  | HKD 10 per each effected (HKD 5 is collected by HK Clearing)  HKD 150  HKD 400  Proxy Voting <sup>3</sup> HKD 100 per stock  trative Fee   |  |
| Stock Deposit Withdrawal Italian Financial Tra Government Tax (Italian companies with market capitalization of more than Euro 500m and listed in Hong Kong) Stock Deposit – Ph   | Free  HKD 20/ Stock  Ansaction Tax (Collected for Italian Government)  0.10%  On the net purchase amount (Tax deduction from the intraday netting permitted based on the weighted average purchase price and the net purchase share quantity executed on the same day)  ysical  HKD 5/ Pcs (For beneficial owner only,  | Multi-counter Trans Handling Charges  Remittance CHATS Telegraphic Transfer <sup>1</sup> Hong Kong Shares Handling Charges  | HKD 10 per each effected (HKD 5 is collected by HK Clearing)  HKD 150  HKD 400  Proxy Voting <sup>3</sup> HKD 100 per stock  trative Fee  Paper statement: HKD 20 per month <sup>4</sup>   |  |
| Stock Deposit Withdrawal Italian Financial Tra Government Tax (Italian companies with market capitalization of more than Euro 500m and listed in Hong Kong) Stock Deposit – Ph Stamp Duty  | Free  HKD 20/ Stock  Ansaction Tax (Collected for Italian Government)  0.10%  On the net purchase amount (Tax deduction from the intraday netting permitted based on the weighted average purchase price and the net purchase share quantity executed on the same day)  ysical  HKD 5/ Pcs (For beneficial owner only, collected by HK Revenue Department)  | Multi-counter Trans Handling Charges  Remittance CHATS Telegraphic Transfer <sup>11</sup> Hong Kong Shares Handling Charges Statement Administ  | HKD 10 per each effected (HKD 5 is collected by HK Clearing)  HKD 150  HKD 400  Proxy Voting <sup>3</sup> HKD 100 per stock  trative Fee   |  |
| Stock Deposit Withdrawal Italian Financial Tra Government Tax (Italian companies with market capitalization of more than Euro 500m and listed in Hong Kong) Stock Deposit – Ph Stamp Duty  | Free  HKD 20/ Stock  Ansaction Tax (Collected for Italian Government)  0.10%  On the net purchase amount (Tax deduction from the intraday netting permitted based on the weighted average purchase price and the net purchase share quantity executed on the same day)  ysical  HKD 5/ Pcs (For beneficial owner only, collected by HK Revenue Department)  Free  | Multi-counter Trans Handling Charges  Remittance CHATS Telegraphic Transfer <sup>1</sup> Hong Kong Shares Handling Charges Statement Administrative Fee   | HKD 10 per each effected (HKD 5 is collected by HK Clearing)  HKD 150  HKD 400  Proxy Voting <sup>3</sup> HKD 100 per stock  trative Fee  Paper statement: HKD 20 per month <sup>4</sup>   |  |
| Stock Deposit Withdrawal Italian Financial Tra Government Tax (Italian companies with market capitalization of more than Euro 500m and listed in Hong Kong) Stock Deposit – Ph Stamp Duty  | Free  HKD 20/ Stock  Ansaction Tax (Collected for Italian Government)  0.10%  On the net purchase amount (Tax deduction from the intraday netting permitted based on the weighted average purchase price and the net purchase share quantity executed on the same day)  ysical  HKD 5/ Pcs (For beneficial owner only, collected by HK Revenue Department)  | Multi-counter Trans Handling Charges  Remittance CHATS Telegraphic Transfer <sup>1</sup> Hong Kong Shares Handling Charges Statement Administrative Fee   | HKD 10 per each effected (HKD 5 is collected by HK Clearing)  HKD 150  HKD 400  Proxy Voting <sup>3</sup> HKD 100 per stock  trative Fee  Paper statement : HKD 20 per month <sup>4</sup> (Each Category will charged quarterly <sup>13</sup> )  |  |
| Stock Deposit Withdrawal Italian Financial Tra Government Tax (Italian companies with market capitalization of more than Euro 500m and listed in Hong Kong) Stock Deposit – Ph Stamp Duty Deposition Fee   | Free  HKD 20/ Stock  Ansaction Tax (Collected for Italian Government)  0.10%  On the net purchase amount (Tax deduction from the intraday netting permitted based on the weighted average purchase price and the net purchase share quantity executed on the same day)  ysical  HKD 5/ Pcs (For beneficial owner only, collected by HK Revenue Department)  Free  | Multi-counter Trans Handling Charges  Remittance CHATS Telegraphic Transfer <sup>1</sup> Hong Kong Shares Handling Charges Statement Administrative Fee   | HKD 10 per each effected (HKD 5 is collected by HK Clearing)  HKD 150  HKD 400  Proxy Voting <sup>3</sup> HKD 100 per stock  trative Fee  Paper statement : HKD 20 per month <sup>4</sup> (Each Category will charged quarterly <sup>13</sup> )  |  |
| Stock Deposit Withdrawal Italian Financial Tra Government Tax (Italian companies with market capitalization of more than Euro 500m and listed in Hong Kong) Stock Deposit – Ph Stamp Duty Deposition Fee  Head Office & Financial Advisors Centre            | Free  HKD 20/ Stock  Ansaction Tax (Collected for Italian Government)  0.10%  On the net purchase amount (Tax deduction from the intraday netting permitted based on the weighted average purchase price and the net purchase share quantity executed on the same day)  ysical  HKD 5/ Pcs (For beneficial owner only, collected by HK Revenue Department)  Free  PHILLIP SECUE  11/F-12/F, United Centre, 95 Queensway, Hong Kong  | Multi-counter Trans Handling Charges Handling Charges CHATS Telegraphic Transfer <sup>10</sup> Hong Kong Shares Handling Charges Statement Administ Administrative Fee RITIES GROUP Sheung Shui | HKD 10 per each effected (HKD 5 is collected by HK Clearing)  HKD 150  HKD 400  Proxy Voting <sup>3</sup> HKD 100 per stock  Itative Fee  Paper statement: HKD 20 per month <sup>4</sup> (Each Category will charged quarterly <sup>13</sup> )  E-statement: Free  Unit 806, Level 8, Landmark North, 39 Lung Sum Avenue, Sheung Shui, N.T.  |  |
| Stock Deposit Withdrawal Italian Financial Tra Government Tax (Italian companies with market capitalization of more than Euro 500m and listed in Hong Kong) Stock Deposit – Ph Stamp Duty  Deposition Fee  Head Office & Financial Advisors Centre Telephone | Free  HKD 20/ Stock  ansaction Tax (Collected for Italian Government)  0.10%  On the net purchase amount (Tax deduction from the intraday netting permitted based on the weighted average purchase price and the net purchase share quantity executed on the same day)  ysical  HKD 5/ Pcs (For beneficial owner only, collected by HK Revenue Department)  Free  PHILLIP SECUE  11/F-12/F, United Centre, 95 Queensway, Hong Kong  22776600  | Multi-counter Trans Handling Charges Handling Charges CHATS Telegraphic Transfer <sup>10</sup> Hong Kong Shares Handling Charges Statement Adminis Administrative Fee                           | HKD 10 per each effected (HKD 5 is collected by HK Clearing)  HKD 150  HKD 400  Proxy Voting <sup>3</sup> HKD 100 per stock  Itative Fee  Paper statement: HKD 20 per month <sup>4</sup> (Each Category will charged quarterly <sup>13</sup> )  E-statement: Free  Unit 806, Level 8, Landmark North, 39 Lung Sum Avenue,  |  |
| Stock Deposit Withdrawal Italian Financial Tra Government Tax (Italian companies with market capitalization of more than Euro 500m and listed in Hong Kong) Stock Deposit – Ph Stamp Duty Deposition Fee  Head Office & Financial Advisors Centre            | Free  HKD 20/ Stock  ansaction Tax (Collected for Italian Government)  0.10%  On the net purchase amount (Tax deduction from the intraday netting permitted based on the weighted average purchase price and the net purchase share quantity executed on the same day)  ysical  HKD 5/ Pcs (For beneficial owner only, collected by HK Revenue Department)  Free  PHILLIP SECUE  11/F-12/F, United Centre, 95 Queensway, Hong Kong  22776600  Room A, 28 Floor, Legend Tower, 7 Shing Yip Street, | Multi-counter Trans Handling Charges Handling Charges CHATS Telegraphic Transfer <sup>10</sup> Hong Kong Shares Handling Charges Statement Administ Administrative Fee RITIES GROUP Sheung Shui | HKD 10 per each effected (HKD 5 is collected by HK Clearing)  HKD 150  HKD 400  Proxy Voting <sup>3</sup> HKD 100 per stock  Itative Fee  Paper statement: HKD 20 per month <sup>4</sup> (Each Category will charged quarterly <sup>13</sup> )  E-statement: Free  Unit 806, Level 8, Landmark North, 39 Lung Sum Avenue, Sheung Shui, N.T.  |  |
| Stock Deposit Withdrawal Italian Financial Tra Government Tax (Italian companies with market capitalization of more than Euro 500m and listed in Hong Kong) Stock Deposit – Ph Stamp Duty  Deposition Fee  Head Office & Financial Advisors Centre Telephone | Free  HKD 20/ Stock  ansaction Tax (Collected for Italian Government)  0.10%  On the net purchase amount (Tax deduction from the intraday netting permitted based on the weighted average purchase price and the net purchase share quantity executed on the same day)  ysical  HKD 5/ Pcs (For beneficial owner only, collected by HK Revenue Department)  Free  PHILLIP SECUE  11/F-12/F, United Centre, 95 Queensway, Hong Kong  22776600  | Multi-counter Trans Handling Charges Handling Charges CHATS Telegraphic Transfer <sup>10</sup> Hong Kong Shares Handling Charges Statement Administ Administrative Fee RITIES GROUP Sheung Shui | HKD 10 per each effected (HKD 5 is collected by HK Clearing)  HKD 150  HKD 400  Proxy Voting <sup>3</sup> HKD 100 per stock  Itative Fee  Paper statement: HKD 20 per month <sup>4</sup> (Each Category will charged quarterly <sup>13</sup> )  E-statement: Free  Unit 806, Level 8, Landmark North, 39 Lung Sum Avenue, Sheung Shui, N.T.  |  |

- Remark:
- 1) Fees are charged in CNY for the Hong Kong shares settled in CNY
- 2) Standard Chartered Hong Kong Dollar Prime Rate
- 3) The handing charge of Hong Kong Shares Proxy voting fee will be effective on 1 AUG 2018  $\,$
- 4) Can apply to waive the charge for customers who born in 1949 or before.
- 5) For online trading and E-statement clients only
- 6) Only applied to stock option trading excluding commission from option assignment/exercise
- 7) Option's AUM is the sum of option account's cash balance and net option value. Net option value is based on settlement price of each day-end
- 8) Based on previous trading date day end's AUM level, if Option AUM is more than \$1M, the trading date can enjoy the commission rates
- 9) new pricing above with effective on 1nd April 2021
- 10) The administration fees will be applied if clients withdraw fund except to the local bank in HKD, CNY and USD; or the transfer will be taken by Telegraphic Transfer.

- 11) For local stock and Grade Based Margin client only.
- 12) Please visit our website for transaction cost of Bonds, Investment Funds and Regular Saving Plan.
- 13) HK Stocks, Global Stocks, Stock Options, Futures, Foreign Futures and Forex will be each deemed as one category.
- 14) All new pricing above with effective on 8th Oct 2019, 「Day Trade」 offer only applies to both buy and sell transactions of the same ETF on the same trading day.
- 15) Given the condition on the same contract and by using the total buy and sell quantity within a day: If the smaller quantity from one of the two sides is not less than 50% of the other, the new pricing scheme will apply
- 16) If no deposit confirmation is received after transaction, Phillip will contact the correspondent banks to obtain depositor information, and the additional costs incurred will be borne by the clients.
- 17) Not applicable to iBond, Green Bonds and Silver Bond.
- 18) For more information, please visit: http://www.poems.com.hk/en-us/customer-service/fees-and-charges/basic/

Update on: 07 January 2025

Below the charges are for reference only;
They are subject to change without prior notice

|   | 輝   | 立      | 証    | 券      | 集   | 專    |
|---|-----|--------|------|--------|-----|------|
|   | Phi | llip S | Secu | rities | Gre | oup  |
| 8 |     |        |      | €R     | 的粉音 | 音夥 伴 |

| Common   C    |                         |                        | 你的投資夥伴   |                             | They are subject to change without prior notice.  |  |
|---|-------------------------|------------------------|--|-----------------------------|---|--|
| The States of St  | AMERICAS<br>U.S. Shares |                        |  |                             |   |  |
| Honerage  NOR STORY  ST  |                         | Exchange               | Description of fees                                    |                             |   |  |
| SIG Fee  NVSD NOSSO  OF Delicin Des.  No D'C Delici  | Brokerage               | NYSE                   | [\$0 Commission] for US Stock                          |                             | •   |  |
| Acquisite of Eliable Online Day code  1 SEASON of Sea State Office  |                         | NASDAQ                 |  |                             |   |  |
| Section   Comment   Comm    |                         |                        |  |                             |   |  |
| ## Commercial Control of Processing Association Associ  |                         |                        | (Electronic Trading Platforms)                         |                             |   |  |
| Comparison   Com    |                         |                        |  | ASIA PACIFIC & S            | COUTH ASIA PECIONS  |  |
| Temposition from the foliage (1982) - 1980 (  |                         |                        |  |                             |   |  |
| The Missimum of above Proce Dealine a (1802)  Fig. 1975 Land San District San Care State Missimum of CR Dealine State Control (1802)  Fig. 1975 Care State Control (1802)  Fig. 2075 Care State Cont  |                         |                        |  | Brokerage                   | (Electronic Trading Platforms) [Effective from 04 February 2022]                        |  |
| SEC Person OTIC Elliseries from Control of Section (Control of Section 1) And 1979 And 1979 Activated to the Control of Section 1) And 1979 Activated to the Control of Section 1) And 1979 Activated to the Control of Section 1) And 1979 Activated to the Control of Section 1) And 1979 Activated to the Control of Section 1) Activated to the Control of Section 1 Activated to the Control of Section 1) Activated to the Control of Section 1 Activated 1 Activated to the Control of Section 1 Activated 1 Activate  |                         |                        |  |                             |   |  |
| OTT: Fiffeening from the control of   |                         |                        |  |                             | (Phone Order)   |  |
| Medical Support Appendix and 1950 Stype and sublease su    |                         |                        |  |                             | 0.35% per transaction less than or equal to 30,000                                      |  |
| SITC Flees  NYSEN NASINA  Transaction  (In Steinberg Control (New York of 18 NS 94 (seek) Manimum (18 00) 01)  Transaction  (In Steinberg Control (New York of 18 NS 94 (seek) Manimum (18 00) 01)  Transaction  (In Steinberg Control (New York of 18 NS 94 (seek) Manimum (18 00) 01)  Transaction  (In Steinberg Control (New York of 18 NS 94 (seek) Manimum (18 00) 01)  Transaction  (In Control (New York of 18 NS 94 (seek) Manimum (18 00) 01)  Transaction  (In Control (New York of 18 NS 94 (seek) Manimum (18 00) 01)  Transaction  (In Control (New York of 18 NS 94 (seek) Manimum (18 00) 01)  Transaction  (In Control (New York of 18 NS 94 (seek) Manimum (18 00) 01)  Transaction  (In Control (New York of 18 NS 94 (seek) Manimum (18 00) 01)  Transaction  (In Control (New York of 18 NS 94 (seek) Manimum (18 00) 01)  Transaction  (In Control (New York of 18 NS 94 (seek) Manimum (18 00) 01)  Transaction  (In Control (New York of 18 NS 94 (seek) Manimum (18 00) 01)  Transaction  (In Control (New York of 18 NS 94 (seek) Manimum (18 00) 01)  Transaction  (In Control (New York of 18 NS 94 (seek) Manimum (18 00) 01)  Transaction  (In Control (New York of 18 NS 94 (seek) Manimum (18 00) 01)  Transaction  (In Control (New York of 18 NS 94 (seek) Manimum (18 00) 01)  Transaction  (In Control (New York of 18 NS 94 (seek) Manimum (18 00) 01)  Transaction  (In Control (New York of 18 NS 94 (seek) Manimum (18 00) 01)  Transaction  (In Control (New York of 18 NS 94 (seek) Manimum (18 00) 01)  Transaction  (In Control (New York of 18 NS 94 (seek) Manimum (18 00) 01)  Transaction  (In Control (New York of 18 NS 94 (seek) Manimum (18 00) 01)  Transaction  (In Control (New York of 18 NS 94 (seek) Manimum (18 00) 01)  Transaction  (In Control (New York of 18 NS 94 (seek) Manimum (18 00) 01)  Transaction  (In Control (New York of 18 NS 94 (seek) Manimum (18 00) 01)  Transaction  (In Control (New York of 18 NS 94 (seek) Manimum (18 00) 01)  Transaction  (In Control (New York of 18 NS 94 (seek) Manimum (18 00) 01)  Transaction  (In Control (New   |                         |                        |  |                             | (Min. SGD33 or USD23 or HKD150 or EUR23 or GBP23 or                                     |  |
| Transaction  OTT  OTT  OTT  OTT  OTT  OTT  OTT  O   | SEC Fees                | NYSE/ NASDAQ           | Rate subject to change irregularly per calendar        |                             | AUD40 or CNH130, whichever is higher)   |  |
| Timusations   Q.S. Financial Industry   \$100,000 Fee where Aprillable to Sell orders only Activated Fee where the Pick of New Arrivat New York Control of the Control of Pick of the Control of Pick of the Control of Pick    |                         |                        |  |                             |   |  |
| Activity Fee Resolution Authority Eve cates objected to PINRA policy shapes of TC clinical National Conference and Conference   | Transaction             |                        |  |                             |   |  |
| No. D IT C signified round.  Sext Sciences of CPT. Enderin Double. Seal-dealines and sext to SQS 2 for transcriptor.  ASRA Fee.  SIGN BIS CONTENT by their per brok do the collected by the ARR touring.  ASRA Fee.  SIGN BIS CONTENT by their per brok do the collected by the ARR touring.  Significant Sciences of Content by the ARR touring Sciences of Content by the ARR touring.  Significant Sciences of Content by the ARR touring.  Significant Sciences of Content by the ARR touring Sciences of Sciences of Content by the ARR touring Sciences of Sciences of Content by the ARR touring Sciences of Sciences of Content by the ARR touring Sciences of Sciences of Content by the ARR touring Sciences of Sciences of Content by the ARR touring Sciences of Sciences of Content by the ARR touring Sciences of Sciences of Content by the ARR touring   |                         |                        |  | B2C Settlement Fee          | (depending on denominated currency) per Settlement Instruction                          |  |
| Stock Century  OTC  Books: Maintaining and is \$350.75 per stade.  Fire Canada Starts  Otto Debugshable fees are sevent for every consideration.  Statistical Starts  Otto Debugshable fees are sevent for every consideration.  Statistical Starts  Otto Debugshable fees are sevent for every consideration.  Statistical Starts  Otto Debugshable fees are sevent for every consideration.  Otto Starts  Otto Debugshable fees are sevent for every consideration.  Otto Starts  Otto S | N DTC -1''1-1-          | OTO D II di D I        |  |                             | SGD 30 per Settlement Instruction   |  |
| Fire Control Fig. 100 001 - 006 (Fire allary block does ordered by the ADR news)  Canada Size 1   |                         |                        |  |                             | 0.015% of Settlement Amount, minimum of SGD 75 per Settlement Instruction               |  |
| Consol Name  (Candani  | Fees                    |                        | The chargable fees may exceed the order consideration. |                             |   |  |
| Causal Shares  Biokicrange  Electronic Trading Platformshow CAD2.59  10 3/9% of Tansaction Amount of Minimum commission CAD 30 por trade. For soveringe executed price above or seal to CAD2.50  10 23 por trade. For soveringe executed price above or seal to CAD2.50  10 24 por trade. For soveringe executed price above or seal to CAD2.50  10 25 por trade. For soveringe executed price above or seal to CAD2.50  10 25 por trade. For soveringe executed price above or seal to CAD2.50  10 25 por trade. For soveringe executed price above or seal to CAD2.50  10 25 por trade. For soveringe executed price above or seal to CAD2.50  10 25 por trade. For soveringe executed price above or seal to CAD2.50  10 25 por trade. For soveringe executed price above or seal to CAD2.50  10 25 por trade. For soveringe executed price above or seal to CAD2.50  10 25 por trade. For soveringe executed price above or seal to CAD2.50  10 25 por trade. For soveringe executed price above CAD2.50  10 25 por trade. For soveringe executed price below or seal to CAD2.50  10 25 por trade. For soveringe executed price below or seal to CAD2.50  10 25 por trade. For soveringe executed price below or seal to CAD2.50  10 25 por trade. For soveringe executed price below or seal to CAD2.50  10 25 por trade. For soveringe executed price below or seal to CAD2.50  10 25 por trade. For sovering executed price below or seal to CAD2.50  10 25 por trade. For sovering executed price below or seal to CAD2.50  10 25 por trade. For sovering executed price below or seal to CAD2.50  10 25 por trade. For sovering executed price below or seal to CAD2.50  10 25 por trade. For sovering executed price below to seal to CAD2.50  10 25 por trade. For sovering executed price below or seal to CAD2.50  10 25 por trade. For sovering executed price below to seal to CAD2.50  10 25 por trade. For sovering executed price below to seal to CAD2.50  10 25 por trade. For sovering executed price below to seal to CAD2.50  10 25 por trade. For sovering executed price below to seal to CAD2.50  10  |                         |                        | re per book close collected by the ADR issuers)        |                             | SGD 5 per Settlement Instruction  |  |
| Generation of Trading Platforms)   CAD2.50      |                         | Free                   |  |                             | Free  |  |
| For average executed price above CAD2.50  September 1 Proceedings of CAD 30 per trade, and the company of the company of CAD 30 per trade, and the company of C  |                         |                        |  |                             |   |  |
| whichever is higher. Plus a filt handling fee of CAD 23 per trude. For average executed price below or equal to CAD2.50  (Phono Posling) For average executed price below or equal to CAD2.50  For average executed price below or equal to CAD2.50  For average executed price below or equal to CAD2.50  For average executed price below or equal to CAD2.50  For average executed price below or equal to CAD2.50  For average executed price below or equal to CAD2.50  For everage execute  | **                      | For average execute    | ed price above CAD2.50                                 | Orders                      | (Only applied to DVP orders)  |  |
| For average executed price below or equal to CAD-250 CAD-015 per trainer (rim, CAD-030 p. Plus a fist handling dee of CAD-250 Price average executed price above CAD-250 O.38% of Transaction Amount of Minimum commission CAD-37 per trade, whichever is higher plus a fist handling fee of CAD-25 per trade, whichever is higher plus a fist handling fee of CAD-25 per trade, whichever is higher plus a fist handling fee of CAD-25 per trade, whichever is higher plus a fist handling fee of CAD-25 per trade, and the carried of the carried of CAD-25 per trade, and the carried of CAD-25 per transaction Amount for PIP 100 per alphanetic field of CAD-25 per transaction amount greater from the carried of CAD-25 per transaction amount greater from 3,000 or of CAD-25 per  |                         |                        |  |                             |   |  |
| CADOJO For states (min. CADOJO). Plus a filt handling fee of CADOJO Spert and CADOJO Spert   |                         |                        |  | Australia Shares            |   |  |
| Phone Dealing   |                         | CAD0.015 per share     |  |                             | 0.38% of Transaction Amount, Min. AUD 35, whichever higher                              |  |
| For average executed price above CAD2.50   Sams Shares  |                         |                        |  |                             |   |  |
| U. 38% of Transaction Amount or Minimum commission CAD 37 per trade.  Whitehore is highly file and that and in few of CAD 2.50 per trade.  CAD 23 per trade.  CHOOL 15. Per commission (CAD 27.0), Plus a filt handling fee of CAD 2.50 per trade.  CHOOL 25. Per transaction amount less than or equal to PY 2.00.000 per commission CHO 25. Per transaction amount greater than 30,000 or the commission CHO 25. Per transaction amount greater than 30,000 or the commission CHO 25. Per transaction amount greater than 30,000 or the commission CHO 25. Per transaction amount greater than 30,000 or the commission CHO 25. Per transaction amount greater than 30,000 or the commission CHO 25. Per transaction amount greater than 30,000 or the commission CHO 25. Per transaction amount greater than 30,000 or the commission CHO 25. Per transaction amount greater than 30,000 or the commission CHO 25. Per transaction amount greater than 30,000 or the commission CHO 25. Per transaction amount greater than 30,000 or the commission CHO 25. Per transaction amount greater than 30,000 or the commission CHO 25. Per transaction amount greater than 30,000 or the commission CHO 25. Per transaction amount greater than 30,000 or the commission CHO 25. Per transaction amount greater than 30,000 or the commission CHO 25. Per transaction amount greater than 30,000 or the commission CHO 25. Per transaction CHO 25. Per t  |                         |                        | ed price above CAD2.50                                 |                             | (withinfindin Fees ACD 1.0, payable end of each month ))                                |  |
| For average executed price below or equal to CAD2.50 CAD 0.16 per starte (min. CAD2.57.0); Plus a flat handling fee of CAD 0.16 per starte (min. CAD2.57.0); Plus a flat handling fee of CAD 2.5 per trans.  Constoly Fee PAN-EROPEAN REGION & MIDDLE EAST LAS. Shares Brokerage O.57% per transaction amount grounder than 30.000 op Min. IPV 3.000 A.6% per transaction amount grounder than 30.000 op Min. IPV 3.000 A.6% per transaction amount grounder than 30.000 op Min. IPV 3.000 A.6% per transaction amount (average PV 1.000,000) A.6% per transaction amount (average PV 1  |                         | 0.38% of Transaction   | Amount or Minimum commission CAD 37 per trade,         |                             |   |  |
| CADO 16 per share (min. CAD37 0); Plus a flat handling fee of CAD 23 per train. CAD37 0); Plus a flat handling fee of CAD 23 per train. CAD37 0); Plus a flat handling fee of CAD 23 per train. CAD37 0); Plus a flat handling fee of CAD 23 per train. CAD37 0); Plus a flat handling fee of CAD 23 per train. CAD37 0); Plus a flat handling fee of CAD 23 per train. CAD37 0); Plus a flat handling fee of CAD37 0; Plus a flat  |                         |                        |  |                             |   |  |
| CAD 23 per transaction of 1 May 2024   Self-edic 0.08% of Transaction Amount Min. IPY 100 (Onto) (Charlet View of Transaction Amount (Nov. IPY 1,000,000) (Min. IPY 1,000,000) (M  |                         |                        |  |                             |   |  |
| Park   Program   Park   Program   Park   P    |                         | CAD 23 per trade.      | ·  |                             |   |  |
| Control   Cont    | Costs In For            |                        | y 2024]  | 4                           |   |  |
| U.K. Shares    D. 50% per transaction amount less than or equal to 30,000 or 10.45% per transaction amount greater than 30,000 or 10.45% per transaction amount greater than 30,000 or 10.45% per transaction amount greater than 30,000 or 10.45% per transaction amount from the period of the period   |                         |                        | CE EAST  | 1                           |   |  |
| O.45% per transaction amount greater than 30,000 or Min. commission (18P 48 or LUS) & e LURA object related with commission (18P 48 or LUS) & e LURA object related with transaction amount (18P 48 or LUS) & e LURA object related with transaction feet(if applicable) before transaction amount more than GBP (1,000)  | U.K. Shares             |                        |  |                             | Min: JPY 3,000  |  |
| Min. coministion (GBP 48 or USD 78 or EUR 60) per trade, whichever higher (Samp Dury or OS% of Transaction Annount (FBU Trade only) (Transaction Annount (Mar. THB 700, whichever higher) (Transaction Length applicable or some U.K. stock with transaction annount more than GBP (1,000) (1,  | Brokerage               |                        |  |                             |   |  |
| Stamp Duty   0.5% of Transaction Amount (Min. THB 700, whichever higher)  |                         | Min. commission (GBP 4 | 8 or USD 78 or EUR 60) per trade, whichever higher     |                             |   |  |
| Flat act GBP   1.00 applicable for some U.K. stock with transaction mount more than GBP   1.000 applicable for some U.K. stock with transaction free mount and GBP   1.000 applicable for some U.K. stock with transaction free mount and GBP   1.000 applicable for some U.K. stock with transaction free mount and GBP   1.000 applicable for some U.K. stock with transaction free free mount and GBP   1.000 applicable for some U.K. stock with transaction free free mount and GBP   1.000 applicable for some U.K. stock with transaction free free mount and garden free free free with Korea Marie free free free mount and garden free free free free precipition of fees free free free free free free fre   | Stamp Duty              | 0.5% of Transaction An | nount (For BUY trade only)                             | Thailand Shares             |   |  |
| amount more than GBP 10,000   Securities clearing systems estlement fees, if applicable   Custody Fee   Free   South Korea Shares   Sou  |                         |                        |  |                             |   |  |
| Securities clearing system settlement fees, if applicable Custody Fee Ince Custody Fee Ince Custody Fee Ince Custody Fee Ince Subtrace Subtrace NSE EURONEXT Shares Sales Tax O15% of Transaction Amount (Min. KRW 20,000 whichever higher France, Portugal of Min. commission EUR 20 or GBP 17 per trade Other transaction Foreign Stock Department (852) 22776678 Entire Standard pricing not applicable for clients with special agreement Section of applicable for clients with special agreement Subtractana Shares (NX) Floreign Stock Department (852) 22776678 Entire Standard pricing not applicable for clients with special agreement Subtractana Shares (NX) Floreign Stock Department (852) 22776678 Entire Standard pricing on applicable for clients with special agreement Subtractana Shares (NX) Floreign Stock Department (852) 22776678 Entire Standard pricing on applicable for clients with special agreement Subtractana Shares (NX) Floreign Stock Department (852) 22776678 Entire Standard pricing on applicable for clients with special agreement Subtractana Shares (NX) Floreign Stock Department (852) 22776678 Entire Standard pricing on applicable for clients with special agreement Subtractana Shares (NX) Floreign Stock Department (852) 22776678 Entowersage O1,30% of Transaction Amount or Min. commission EUR 20 per trade* Other transaction Floreign Stock Department (852) 22776678 Entowersage O1,30% of Transaction Amount (Min. HKD 150 whichever high Entowersage O1,30% of Transaction Amount (Min. HKD 150 whichever high Entowersage O1,30% of Transaction Amount (Min. HKD 150 whichever high Entowersage O1,30% of Transaction Amount (Min. HKD 150 whichever high Entowersage O1,30% of Transaction Amount (Min. HKD 150 whichever high Entowersage O1,30% of Transaction Amount (Min. HKD 150 whichever high Entowersage O1,30% of Transaction Amount (Min. HKD 150 whichever high Entowersage O1,30% of Transaction Amount (Min. HKD 150 whichever high Entowersage O1,30% of Transaction Amount (Min. HKD 150 whichever high Entowersage O1,30% of Transaction Am  | iees(ii applicable)     |                        |  |                             | 7.0 % of brokerage amount as above  |  |
| NSE EURONEXT Stares  Item  Exchange  Description of fees  Sachange  Notherlands, Belgium  Notherlands, Belgium  Plance, Portugal or Min. commission EUR 20 or GBP 17 per trade  Different based on the policy per country above: Please contact our feestif applicable.  Castody Fee  Free  |                         |                        |  | Custody Fee                 | Free  |  |
| Item  |                         |                        |  |                             | 10 25% of Transaction Amount (Min VBW 20 000 whichever higher)                          |  |
| Brokerage   Netherlands, Belgium   0.39% of Transaction Amount   Custody Fee   Free   China Shanghal B Shares (Trading Currency: USD )   Brokerage   0.30% of Transaction Amount (Min. USD 30 whichever higher feestif applicable)   Custody Fee   Free   Free   Free   China Shanghal B Shares (Trading Currency: USD )   Brokerage   0.30% of Transaction Amount (SELL trade only)   Custody Fee   Free   Free   Custody Fee   Free   Cus    |                         |                        | Description of fees                                    |                             | 0.15% of Transaction Amount (SELL side only) (Effective from trade date 7 Jan, 2025)    |  |
| Other transaction become the policy per country above; Please contact our feerefig applicable for clients with special agreement (852) 22776678 for details.  Item  | Brokerage               | Netherlands, Belgium   |  |                             |   |  |
| feestf applicable   Free   F    | Other transaction       |                        |  |                             |   |  |
| Settlement Fee   0.002% of Transaction Amount (Max. USD 50 )^   |                         |                        |  |                             |   |  |
| Item  | Custody Fee             | Free                   | Free   |                             |   |  |
| Brokerage   KTRA   0.2% of Transaction Amount   Frankfurt   Or Min. commission EUR 30 per trade*   China Shear      |                         | Evolungo               | Description of food                                    |                             |   |  |
| Frankfur   Jor Min. commission EUR 30 per trade*   China Shez/Ren B Shares (Trading Currency: HKD)   Olffert based on the policy per exchange in Germany; Please contact our Foreign Stock Department (852) 22776678 for details.   Custody Fee   German steck partifile value x 0.05% / 12 (Min. Fees EUR 1.0; payable in next calendar month)   Effective from 10 to 4 policy per cache and the standard pricing not applicable for clients with special agreement   Standard pricing not applicable for clients with special agreement   Standard pricing not applicable for clients with special agreement   Standard pricing not applicable for clients with special agreement   Standard pricing not applicable for clients with special agreement   Standard pricing not applicable for clients with special agreement   Standard pricing not applicable for clients with special agreement   Standard pricing not applicable for clients with special agreement   Standard pricing not applicable for clients with special agreement   Standard pricing not applicable for clients with special agreement   Standard pricing not applicable for clients with special agreement   Standard pricing not applicable for clients with special agreement   Standard pricing not applicable for clients with special agreement   Standard pricing not applicable for clients with special agreement   Standard pricing not applicable for clients with special agreement   Standard pricing not applicable for clients with special agreement   Standard pricing not applicable for clients with special agreement   Standard pricing not applicable for clients with special agreement   Standard pricing not applicable for clients with special agreement   Standard pricing not applicable for clients with special agreement   Standard pricing not applicable for clients with special agreement   Standard pricing not applicable for clients with special agreement   Standard pricing not applicable for clients with special agreement   Standard pricing not applicable for clients with special agreement   St    | Brokerage               |                        |  |                             |   |  |
| Stamp Duty   0.05% of Transaction Amount (SELL trade only)  | • *                     | Frankfurt              | or Min. commission EUR 30 per trade*                   | China ShenZhen B Sh         | ares ( Trading Currency: HKD )  |  |
| Custody Fee   German stock portfolio value x 0.05% /12 (Min. Fees EUR 1.0; payable in next calendar month)   Levy   0.00541% of Transaction Amount (Max. HKD 500 )*   |                         |                        |  |                             |   |  |
| *staardard pricing not applicable for clients with special agreement  Settlement Fee Custody Fee Free    O.30% of Transaction Amount of Min. commission CHF 45 per trade   Other transaction feestif applicable   |                         |                        |  |                             |   |  |
| Brokerage   O.30% of Transaction Amount or Min. commission CHF 45 per trade   Other transaction   Please contact our Foreign Stock Department (852) 22776678   Stamp Duty   O.1% of Transaction Amount (Min. MYR75, whichever higher (seesif applicable)   Or Min. commission Turkish Lira(TRY) 33 per trade*   Clearing Fee   O.30% of Transaction Amount (Min. MYR15, whichever higher (Australia)   O.30% of Transaction Amount (Min. MYR10,000)   Effective from 01 Mar 2018, some securities are exempted by Malavsia Government from paving stamp duty   Malavsia Government from 0.04% of Transaction Amount (Min. DR 200K whichever higher Online Brokerage   O.3    | * standard pricing i    |                        |  | Settlement Fee              | 0.002% of Transaction Amount ( Max. HKD 500 )^  |  |
| Other transaction (sestif applicable) (custody Fee Free Transaction Amount (Min. DR 200K whichever highe for details (stamp Duty (stamp Stamp Duty (stamp Duty (stamp Duty (stamp Duty (stamp Duty (stamp Stamp Stamp Duty (stamp Stamp Stamp Duty (stamp Stamp Stamp Duty (stamp Stamp Stamp Stamp Stamp Duty (stamp Stamp St  |                         | 10 30% of Transaction  | Amount or Min commission CHE 45 per trade              |                             | Free  |  |
| Stamp Duty   Custody Fee   Free   Effective from 01 Mar 2018, some securities are exempted by Tarkey Shares (IMKB)   Effective from 01 Mar 2018, some securities are exempted by Malaysia Government from paying stamp duty or Min. commission Turkish Lira(TRY) 33 per trade*   Custody Fee   Free   O.30% of Transaction Amount (Max. MYR1,000)   |                         |                        |  |                             | 0.30% of Transaction Amount (Min. MYR75, whichever higher)                              |  |
| Malaysia Government from paving stamp duty  | fees(if applicable)     | for details            | 1  |                             | 0.1% of Transaction Amount (Max. MYR1,000)  |  |
| Brokerage   | Custody Fee             | Free                   |  | 4                           |   |  |
| Or Min. commission Turkish Lira(TRY) 33 per trade*  VAT Fee  5.0 % of Brokerage amount as above  Custody Fee Free  Custody Fee Finland Shares (NASDAQ OMX Nordic)  Brokerage Odher transaction fees(if applicable) Custody Fee Free  Custody Fee Free  Donline Brokerage O.40% of Transaction Amount (Min. IDR 200K whichever highe Offline Brokerage O.40% of Transaction Amount (Min. IDR 200K whichever highe Offline Brokerage O.40% of Transaction Amount (Min. IDR 200K whichever highe Offline Brokerage O.40% of Transaction Amount (Min. IDR 200K whichever highe Offline Brokerage O.40% of Transaction Amount (Min. IDR 200K whichever highe Offline Brokerage O.40% of Transaction Amount (Min. IDR 200K whichever highe Offline Brokerage O.40% of Transaction Amount (Min. IDR 200K whichever highe Offline Brokerage O.40% of Transaction Amount (Min. IDR 200K whichever highe Offline Brokerage O.40% of Transaction Amount (Min. IDR 200K whichever highe Offline Brokerage O.40% of Transaction Amount (Min. IDR 200K whichever highe Odd of Transaction Amount (Min. IDR 200K whichever highe Offline Brokerage O.40% of Transaction Amount (Min. IDR 200K whichever highe Odd of Transaction Amount (Min. IDR 200K whichever highe Odd of Transaction Amount (Min. IDR 200K whichever highe Odd of Transaction Amount (SELL side only)  VAT Tax O.10% of Transaction Amount (Min. PESO 2.000 whichever highe O.60% of Transaction Amount (Min. PESO 2.000 whichever highe O.60% of Transaction Amount (Min. PESO 2.000 whichever highe O.60% of Transaction Amount (Min. PESO 2.000 whichever highe O.60% of Transaction Amount (Min. PESO 2.000 whichever highe O.60% of Transaction Amount (Min. PESO 2.000 whichever highe O.60% of Transaction Amount (Min. PESO 2.000 whichever highe O.60% of Transaction Amount (Min. PESO 2.000 whichever highe O.60% of Transaction Amount (Min. PESO 2.000 whichever highe O.60% of Transaction Amount (Min. PESO 2.000 whichever highe O.60% of Transaction Amount (Min. PESO 2.000 whichever highe O.60% of Transaction Amount (Min. PESO 2.000 whichever hig  |                         | 10 30% of Transaction  | Amount   | Clearing Fee                | Malaysia Government from paying stamp duty 0.03% of Transaction Amount (Max. MYR 1.000) |  |
| VAT Fee   | Diokeiage               |                        |  |                             |   |  |
| Finland Shares (NASDAQ OMX Nordic)  Brokerage   | VAT Fee                 | 5.0 % of Brokerage at  |  | Indonesia Shares            |   |  |
| Brokerage   0.30% of Transaction Amount or Min. commission EUR 28 per trade   Other transaction   Please contact our Foreign Stock Department (852) 22776678   VAT Tax   10% of Brokerage amount as above   Other transaction Amount (Effective on 1 April 2022)   VAT Tax   10% of Brokerage amount as above   Other transaction Amount (SELL side only)   VAT Tax   Other transaction Amount (SELL side only)   Other transaction   Please contact our Foreign Stock Department (852) 22776678   Other transaction   O    |                         |                        |  |                             |   |  |
| Other transaction fees(if applicable) Custody Fee Free  Ireland Shares (ISE) Brokerage Other transaction fees(if applicable) Custody Fee Free  Investment (SE) Brokerage Other transaction for details Custody Fee Free  Investment (SE) Brokerage Other transaction for details Custody Fee Free  Investment (SE) Brokerage Other transaction for details Custody Fee Free  Investment (SE) Brokerage Other transaction for details Custody Fee Free  Investment (SE) Brokerage Other transaction for details Custody Fee Free  Investment (SE) Brokerage Other transaction for details Custody Fee Free  Investment (SE) Brokerage Other transaction for details Custody Fee Free  Investment (SE) Brokerage Other transaction for details Custody Fee Free  Investment (SE) Brokerage Other transaction for details Other transaction fees(if applicable) Other transaction fees(if applicable) Custody Fee Other transaction fees (Investment (SE) (Inve  |                         | 0.30% of Transaction   | Amount or Min. commission EUR 28 per trade             |                             |   |  |
| fees(if applicable)   for details   Custody Fee   Free   Custody Fee   Free   Philippine Shares   | Other transaction       | Please contact our For | reign Stock Department (852) 22776678                  | VAT Tax                     | 10% of Brokerage amount as above  |  |
| Philippine Shares      | fees(if applicable)     | for details            |  |                             |   |  |
| Brokerage   0.30% of Transaction Amount or Min. commission EUR 28 per trade   Brokerage   0.60 % of Transaction Amount (Min. PESO 2,000 whichever higher)   |                         | rree                   |  |                             | irree   |  |
| Other transaction fees(if applicable) Custody Fee Free Scorp Stock Department (852) 22776678 Brokerage   0.30% of Transaction or Min. commission   0.05% p.a. Sweden stock portfolio value x 0.05% / 12 (Minimum Fees SEK 1.0; payable end of each month)   (Minimum Fees SEK 1.0; payable end of each month)   (Effective from 01 Oct 2020]   (Minimum Fees Contact our Foreign Stock Department (852) 22776678   (Minimum Fees Contact our Foreign Stock Department (852) 22776678   (Minimum Fees Contact our Foreign Stock Department (852) 22776678   (Minimum Fees SEK 1.0; payable end of each month)   (Minimum Fees Contact our Foreign Stock Department (852) 22776678   (Minimum Fees SEK 1.0; payable end of each month)   (Minimum Fees Contact our Foreign Stock Department (852) 22776678   (Minimum Fees SEK 1.0; payable end of each month)   (Minimum Fees Contact our Foreign Stock Department (852) 22776678   (Minimum Fees SEK 1.0; payable end of each month)   (Mi  | Brokerage               |                        |  | Brokerage                   | 0.60 % of Transaction Amount (Min. PESO 2,000 whichever higher)                         |  |
| Custody Fee         Free         SCCP Fee         0.01% of           Sweden Shares (NASDAQ OMX Nordic)         Custody Fee         Free           Brokerage         0.30% of Transaction or Min. commission         Bangko Sentral Registration Plat Rate1,000 Document (BSRD) Fee (To be charged per side per stock each trading day)           Other transaction fees(if applicable)         Please contact our Foreign Stock Department (852) 22776678 for details         Taiwan Shares           Custody Fee         0.05% p.a. Sweden stock portfolio value x 0.05% / 12 (Minimum Fees SEK 1.0; payable end of each month) [Effective from 01 Oct 2020]         Sales Tax(Only Irrading Fee(Custodian Bank)         0.3% of Transaction Amount for Exchange Traded Fund listing at Taiwan Excharge Trading Fee(Custodian Bank)           Trading Fee(Custodian Bank)         TWD 250 (To be charged per side per stock each trading day)  | Other transaction       |                        | reign Stock Department (852) 22776678                  |                             |   |  |
| Sweden Shares (NASDAQ OMX Nordic)   Custody Fee   Free  |                         |                        |  |                             |   |  |
| Brokerage   0.30% of Transaction or Min. commission   Bangko Sentral Registration   Document (BSRD) Fee   To be charged per side per stock each trading day)  | Sweden Shares ( NA      | ASDAQ OMX Nordic )     |  |                             |   |  |
| Other transaction fees(if applicable) Custody Fee  O.05% p.a. Sweden stock portfolio value x 0.05% / 12 (Minimum Fees SEK 1.0; payable end of each month) [Effective from 01 Oct 2020]  Taiwan Shares  Brokerage O.25% of Transaction Amount (Min. commission TWD 600) O.3% of Transaction Amount for ordinary shares [Imit to sell orders) Imit to sell orders) Trading Fee(Custodian Bank) TWD 250 (To be charged per side per stock each trading day)  | Brokerage               | 0.30% of Transaction   |  | Bangko Sentral Registration | Flat Rate1,000  |  |
| fees(if applicable) for details  Custody Fee 0.05% p.a. Sweden stock portfolio value x 0.05% / 12 (Minimum Fees SEK 1.0; payable end of each month)  [Effective from 01 Oct 2020]  Brokerage 0.25% of Transaction Amount (Min. commission TWD 600)  Sales Tax(Only 0.3% of Transaction Amount for ordinary shares   limit to sell orders)   | Other transaction       |                        | reign Stock Department (852) 22776679                  |                             | (10 be charged per side per stock each trading day)                                     |  |
| Custody Fee 0.05% p.a. Sweden stock portfolio value x 0.05% / 12 (Minimum Fees SEK 1.0; payable end of each month) [Effective from 01 Oct 2020] Sales Tax(Only limit to sell orders) 0.1% of Transaction Amount for ordinary shares 0.1% of Transaction Amount for Exchange Traded Fund listing at Taiwan Exchange Trading Fee(Custodian Bank) TWD 250 (To be charged per side per stock each trading day)  |                         |                        | icigii stock Departitetti (632) 221/00/8               |                             | 0.25% of Transaction Amount (Min. commission TWD 600)                                   |  |
| (Minimum Fees SEK 1.0; payable end of each month) [Effective from 01 Oct 2020]  limit to sell orders) Trading Fee(Custodian Bank) TWD 250 (To be charged per side per stock each trading day)   | Custody Fee             | 0.05% p.a. Sweden st   |  | Sales Tax(Only              | 0.3% of Transaction Amount for ordinary shares  |  |
|   |                         |                        |  |                             | 0.1% of Transaction Amount for Exchange Traded Fund listing at Taiwan Exchange          |  |
| Custody ree Portollo amount x 0.12% / 12 (Min. charge HKD 50 per month  |                         | ILETTECTIVE from 01 Oc | t 2020]  |                             |   |  |
|   |                         |                        |  | custouy ree                 | 1 OHORO amount x 0.1270 / 12 (Will. Charge TKD 50 per month)                            |  |